

CS 42—Structural recursion and higher-order functions (HOFs)

Tuesday, October 9, 2018

Summary

Today, we'll explore recursive algorithms over inductive data structures (specifically, linked lists); and we'll learn how write functions that can be used as input to and output from other functions.

Terminology

higher-order function: a function that takes another function as an argument or that returns a function as its result (or both).

anonymous function: a function without a name, also referred to as a “lambda”

Notation for describing types

Here is some notation that we'll use to describe types. You won't be tested on this notation; it's just a useful shorthand that helps us describe the code we write.

Notation	Meaning
Int, Bool, Char, String, etc.	A “primitive” type, i.e., one that's built into Racket and is not a list or a function.
$A \rightarrow B$	A function that takes a parameter of type A and results in a value of type B. For example the <code>not</code> function has type <code>Bool → Bool</code> .
$A \times B \rightarrow C$	A function that takes one parameter of type A, one parameter of type B, and results in a value of type C. For example the <code>+</code> function has type <code>Int × Int → Int</code> .
[A]	A list of type A, for example the value <code>'(1 2 3)</code> has type <code>[Int]</code> .

Recap: Lists in Racket

Racket lists are linked lists, where each “link” in the list is a pair of element + list.

Operation	Meaning
List-building operations	
<code>empty</code> or <code>'()</code>	constructs an empty list
<code>(cons <value> <list>)</code>	constructs a new list by prepending an element to an existing list (Careful! If the second element is not a list, then <code>cons</code> does not construct a new list.)
<code>(list <value₁> ... <value_N>)</code>	constructs a list with the given arguments as elements
<code>'(<value₁> ... <value_N>)</code>	
<code>(append <list₁> ... <list_N>)</code>	append multiple lists into a single list
List-accessing operations	
<code>(empty? <value>)</code>	returns true if the argument is an empty list
<code>(first <list>)</code>	returns the head of a non-empty list
<code>(rest <list>)</code>	returns the tail of a non-empty list

Common higher-order functions (HOFs)

Here are some common higher-order functions that come with Racket. These functions correspond to common patterns in programming.

(map f L): given a transforming function `f` and a list `L`, `map` produces a new list `L'` where each element of `L'` is the result of applying `f` to the corresponding element of `L`. The function `f` takes a single element of `L` and transforms it to a new value. `map` has type $(A \rightarrow B) \times [A] \rightarrow [B]$.

(filter f L): given a predicate function `f` and a list `L`, `filter` produces a new list `L'` that contains *only* the elements of `L` for which the predicate is `true`. The function `f` takes a single element of `L` and returns either `true` or `false`. `filter` has type $(A \rightarrow \text{Bool}) \times [A] \rightarrow [A]$.

(foldl f seed L): given a folding function `f`, an initial `seed` value, and a list `L`, `foldl` reduces `L` to a single value by repeatedly applying `f` to the list. The function `f` takes *two* arguments: an element of `L` and the accumulated value; it returns a new accumulated value. `foldl` starts by applying `f` to the *first* element of `L` and the `seed`, then moves its way towards the *end* of the list:

$$(\text{foldl } f \text{ seed } L) \equiv (f \ v_n \ (\dots (f \ v_1 \ (f \ v_0 \ \text{seed})) \ \dots))$$

(foldr f seed L): like `foldl` except it starts by applying `f` to the *last* element of `L` and the `seed`, then moves its way towards the *front* of the list:

$$(\text{foldr } f \ \text{seed } L) \equiv (f \ v_0 \ (\dots (f \ v_{n-1} \ (f \ v_n \ \text{seed})) \ \dots))$$

`foldl` and `foldr` have type $(A \times B \rightarrow B) \times B \times [A] \rightarrow B$.

Caution: when `f` is not associative (e.g., when `f` is subtraction), `foldr` and `foldl` can return different results.

anonymous functions (i.e., lambdas)

The result of evaluating this expression is a value whose type is a function:

$$(\text{lambda } (\text{parameter}_1 \ \dots \ \text{parameter}_n) \ \text{body-expr})$$

For example, the expression `(lambda (x y) (+ x y))` has type `Int x Int → Int`.

It may be helpful to think of `lambda` as the most basic way of defining a function, so that this:

$$\begin{aligned} &(\text{define } (f \ x \ y) \\ & \quad (+ \ x \ y)) \end{aligned}$$

is just syntactic sugar for this:

$$(\text{define } f \ (\text{lambda } (x \ y) (+ \ x \ y)))$$

We often use anonymous functions when we want to pass an argument or to return a value whose type is a function, for example: `(map (lambda (x) (* x 2)) '(1 2 3))`

Next time: Branching recursion (“use it or lose it”) and analysis of programs.